

Form No. MGT - 9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31st March 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i) CIN	U67120HR2004PTC041557
ii) Registration Date	24 February 2004
iii) Name of the Company	THAR SHARE BROKERS PRIVATE LIMITED
iv) Category / Sub Category of the Company	Company Limited by Shares
v) Address of the Registered office and Contact details	House No. 2254, Block-C, Sushant Lok, Phase-I, Gurgaon, Haryana-122002. Phone No. 0141-5111880
vi) Whether listed company (Yes / No)	No
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	N.A.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated :

Sr. No.	Name and Description of main products / services	NIC Code of the Product / Service	% of total turnover of the Company
1.	Security dealing activities.	67120	19.58%
2.	Other financial intermediation	65999	80.42%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of Shares held	Applicable Section
1.	N.A.	N.A.	N.A.	N.A.	N.A.

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding:

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual / HUF	-	1,235,000	1,235,000	92.60	-	1,235,000	1,235,000	92.60	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corporate	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A)(1):	-	1,235,000	1,235,000	92.60	-	1,235,000	1,235,000	92.60	-
(2) Foreign									
a) NRIs - Individuals	-	-	-	-	-	-	-	-	-
b) Other - Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2):	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoters (A) = (A)(1)+(A)(2)	-	1,235,000	1,235,000	92.60	-	1,235,000	1,235,000	92.60	-

(1) Institutions								
a) Mutual Funds / UTI	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-
Sub-Total (B)(1):	-	-	-	-	-	-	-	-
(2) Non-Institutions								
a) Bodies Corporate								
i) Indian	-	28,750	28,750	2.16	-	28,750	28,750	2.16
ii) Overseas	-	-	-	-	-	-	-	-
b) Individuals								
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	-	70,000	70,000	5.25	-	70,000	70,000	5.25
c) Others (specify)	-	-	-	-	-	-	-	-
Sub-Total (B)(2):	-	98,750	70,000	7.40	-	98,750	70,000	7.40
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	98,750	70,000	7.40	-	98,750	70,000	7.40
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	1,333,750	1,333,750	100.00	-	1,333,750	1,333,750	100.00

ii) Shareholding of Promoters:

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	Ajay Gangwal	865,000	64.85	-	865,000	64.85	-	-
2.	Rakhi Gangwal	370,000	27.74	-	370,000	27.74	-	-
	Total	1,235,000	92.60	-	1,235,000	92.60	-	-

iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the
	At the beginning of the year	No Change	No Change	No Change	No Change
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	No Change	No Change	No Change	No Change
	At the end of the year	No Change	No Change	No Change	No Change

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the
1	Umesh Bhandari				
	At the beginning of the year	70,000	5.25	70,000	5.25
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	70,000	5.25	70,000	5.25
2	Parshvanath Share Broking Private Limited				
	At the beginning of the year	28,750	2.16	28,750	2.16
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	28,750	2.16	28,750	2.16

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of	No. of shares	% of total
1.	Ajay Gangwal				
	At the beginning of the year	865,000	64.85	865,000	64.85
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	865,000	64.85	865,000	64.85
2.	Rakhi Gangwal				
	At the beginning of the year	370,000	27.74	370,000	27.74
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
	At the end of the year	370,000	27.74	370,000	27.74

vi) Indebtedness:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial				
i) Principal Amount	670778456.93	0.00	0.00	670778456.93
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	670778456.93	0.00	0.00	670778456.93
Change in Indebtedness during the financial				
Addition	0.00	0.00	0.00	0.00
Reduction	11654110.14	0.00	0.00	11654110.14
Net Change	-11654110.14	0.00	0.00	-11654110.14

i) Principal Amount	659124346.79	0.00	0.00	659124346.79
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	659124346.79	0.00	0.00	659124346.79

vii) Remuneration Of Directors And Key Managerial Personnel :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Name of MD / WTD / Manager			Total Amount
		----	----	----	
1.	Gross Salary	NIL	NIL	NIL	NIL
	(a) Salary as per Provisions	NIL	NIL	NIL	NIL
	(b) Value of Perquisites u/s 17(2) of	NIL	NIL	NIL	NIL
	(c) Profits in lieu of Salary u/s 17(3)	NIL	NIL	NIL	NIL
2.	Stock Option	NIL	NIL	NIL	NIL
3.	Sweat Equity	NIL	NIL	NIL	NIL
4.	Commission	NIL	NIL	NIL	NIL
	- as % of Profit	NIL	NIL	NIL	NIL
	- Others, specify...	NIL	NIL	NIL	NIL
5.	Others, Please specify	NIL	NIL	NIL	NIL
	Total (A)	NIL	NIL	NIL	NIL
	Ceiling as per the Act	NIL	NIL	NIL	NIL

B. Remuneration to Other Directors:

Sr. No.	Particulars of Remuneration	Name of Directors			Total Amount
		----	----	----	
1.	Independent Directors	NIL	NIL	NIL	NIL
	- Fees for attending board	NIL	NIL	NIL	NIL
	- Commission	NIL	NIL	NIL	NIL
	- Others, Please specify	NIL	NIL	NIL	NIL
	Total (1)	NIL	NIL	NIL	NIL
2.	Other Non-Executive Directors	NIL	NIL	NIL	NIL
	- Fees for attending board	NIL	NIL	NIL	NIL
	- Commission	NIL	NIL	NIL	NIL
	- Others, Please specify	NIL	NIL	NIL	NIL
	Total (2)	NIL	NIL	NIL	NIL
	Total (B) = (1 + 2)	NIL	NIL	NIL	NIL
	Total Managerial Remuneration	NIL	NIL	NIL	NIL
	Overall Ceiling as per the Act	NIL	NIL	NIL	NIL

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

Sr. No.	Particulars of Remuneration	Key Managerial Personnel			Total Amount
		CEO	Company	CFO	
1.	Gross Salary	NIL	NIL	NIL	NIL
	(a) Salary as per Provisions	NIL	NIL	NIL	NIL
	(b) Value of Perquisites u/s 17(2) of	NIL	NIL	NIL	NIL
	(c) Profits in lieu of Salary u/s 17(3)	NIL	NIL	NIL	NIL
2.	Stock Option	NIL	NIL	NIL	NIL
3.	Sweat Equity	NIL	NIL	NIL	NIL
4.	Commission	NIL	NIL	NIL	NIL
	- as % of Profit	NIL	NIL	NIL	NIL
	- Others, specify...	NIL	NIL	NIL	NIL
5.	Others, Please specify	NIL	NIL	NIL	NIL
	Total (A)	NIL	NIL	NIL	NIL
	Ceiling as per the Act	NIL	NIL	NIL	NIL

viii) Penalties / Punishment/ Compounding of Offences:

Type	Section of	Brief	Details of Penalty /	Authority	Appeal
A. COMPANY					
Penalty			None		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment					

Compounding	
C. OTHER OFFICERS IN DEFAULT	
Penalty	None
Punishment	
Compounding	

By the order of the Board of Directors
FOR THAR SHARE BROKERS PRIVATE LIMITED

(Signature)
For Thar Share Brokers Pvt. Ltd.

(AJAY GANGWAL)
Managing Director
DIN: 00093580

Director

June 26, 2019
Haryana

(Signature)
For Thar Share Brokers Pvt. Ltd.

(RAKHI GANGWAL)
Director
DIN:00093603

Director