

ANNEXURE C

Format of Complaints against Stock Brokers to be displayed on their websites

A. Data for the Month ending –January 2024

S No	Received from	Pending at the end of the last month	Received during the month	Resolved during the month*	Total pending at the end of month	Complaints Pending > 1 month	Average resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

B Trend of Monthly disposal of complaints for the Financial Year.2022-24

SN	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the month**
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	June 2022	0	0	0	0
4	July 2022	0	0	0	0
5	August 2022	0	0	0	0
6	September 2022	0	0	0	0
7	October 2022	0	0	0	0
8	November 2022	0	0	0	0
9	December 2022	0	0	0	0
10	January 2023	0	0	0	0
11	February 2023	0	0	0	0
12	March 2023	0	0	0	0
13	April 2023	0	0	0	0
14	May 2023	0	0	0	0
15	June 2023	0	0	0	0
16	July 2023	0	0	0	0
17	August 2023	0	0	0	0
18	September 2023	0	0	0	0
19	October 2023	0	0	0	0
20	November 2023	0	0	0	0
21	December 2023	0	0	0	0

22	January 2024	0	0	0	0
	Grand Total	0	0	0	0

C Trend of Annual (FY) disposal of complaints (For 4 years on rolling basis)

SNo.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
	Grant Total	0	0	0	0